

Docket No. 214089US0CONT



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Yoshinao NAGASHIMA, et al.

SERIAL NUMBER: 09/972,887

GAU: 1617

FILING DATE: October 10, 2001

EXAMINER: KIM, Jennifer M.

FOR: AUTONOMIC NERVE REGULATING AGENT

**LETTER**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicants' Representative hereby requests acknowledgment and entry into the into the U. S. Patent and Trademark Office system the following unacknowledged submissions filed during prosecution of the above-identified application Serial Number 09/972,887 (now allowed) prior to payment of the Issue Fee due May 27, 2006:

Supplemental Application Data Sheet submitted on March 12, 2002 correcting the domestic and foreign priority dates; and

Reference AW (Other References) A MANUAL OF STANDARDIZED TERMINOLOGY, TECHNIQUES AND SCORING SYSTEM FOR SLEEP STAGES OF HUMAN SUBJECTS, Allan Rechtschaffen, et al.; 1968 on Sheet 1 of 2 Form PTO-1449, of our Information Disclosure Statement submitted on January 9, 2002.

During a telephone discussion with Examiner Kim on February 14, 2006, the examiner indicated that this reference was not in the U.S. Patent and Trademark file and therefore could not be considered.

Copies of the Transmittal Sheet; Application Data Sheet; Transmittal Sheet; Information Disclosure Statement; Form PTO-1449; and Rechtschaffen reference with respective date-stamped filing receipts for each of the above filings are submitted herewith.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
Norman F. Oblon

**COPY**

Richard L. Chinn, Ph.D.  
Registration No. 34,305

Customer Number

22850

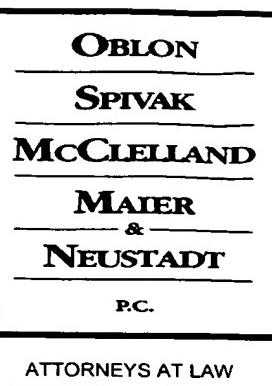
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| 04/21/06        | 214089US |
| DATE            | CASE ID  |



Docket No.: 214089US0CONT

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313



ATTORNEYS AT LAW

RE: Application Serial No.: 09/972,887

Applicants: Yoshinao NAGASHIMA, et al.

Filing Date: October 10, 2001

For: AUTONOMIC NERVE REGULATING AGENT

Group Art Unit: 1617

Examiner: KIM, Jennifer M.

SIR:

Attached hereto for filing are the following papers:

**LETTER TO THE COMMISSIONER with Copies of:**

**March 11, 2002 Date-stamped Filing Receipt;**

**Transmittal Letter to USPTO; Supplemental Application Data Sheet (3pp.) and,**

**January 9, 2002 Date-stamped Filing Receipt;**

**Transmittal Letter to USPTO; Information Disclosure Statement; Form PTO-1449 (2pp.) w. (1) Reference AW (Other References) A MANUAL OF STANDARDIZED TERMINOLOGY, TECHNIQUES AND SCORING SYSTEM FOR SLEEP STAGES OF HUMAN SUBJECTS, Allan Rechtschaffen, et al.; 1968**

Our check in the amount of -0- is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
Norman F. Oblon

COPY

Richard L. Chinn, Ph.D.  
Registration No. 34,305

|                 |          |
|-----------------|----------|
| Linked to OPTMS |          |
| 04-21-06        | 214089US |
| DATE            | CASE ID  |

Customer Number

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OSMM&N File No. 214089US0CONT

Att.: CHEMICAL

By: NFO/RLC/dbl

Serial No. 09/972,887

In the matter of the Application of: Yoshinao NAGASHIMA, et al.

For: AUTONOMIC NERVE REGULATING AGENT

**Due Date: N/A**

The following has been received in the U.S. Patent Office on the date stamped hereon

■ Dep. Acct. Order Form (See Duplicate of USPTO Cover Letter)

■ Fee Transmittal Form (See Short USPTO Cover Letter)

■ Short USPTO Cover Letter (In Duplicate)

■ LETTER TO THE COMMISSIONER with Copies of

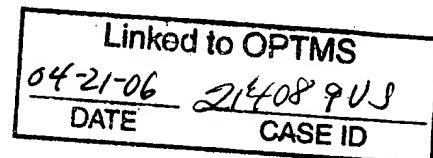
**COPIES OF:**

March 11, 2002 Date-stamped Filing Receipt;  
Transmittal Letter to USPTO; Supplemental Application Data Sheet (3pp.)

and

January 9, 2002 Date-stamped Filing Receipt;  
Transmittal Letter to USPTO; Information Disclosure Statement;  
Form PTO-1449 (2pp.) w. (1) Reference - AW (Other References)

A MANUAL OF STANDARDIZED  
TERMINOLOGY, TECHNIQUES AND SCORING  
SYSTEM FOR SLEEP STAGES OF HUMAN  
SUBJECTS, Allan Rechtschaffen, et al.; 1968



**COPY**



OSMM&N File No. 214089US0CONT  
Serial No. 09/972,887

Dept.: CHEMICAL  
By: NFO:SUK:tdg

In the matter of the Application of: Yoshinao NAGASHIMA, et al.  
For: AUTONOMIC NERVE REGULATING AGENT

The following has been received in the U.S. Patent Office on the date stamped hereon:

- |   |                 |   |
|---|-----------------|---|
| <input type="checkbox"/> pp. Specification                                      | Claims/Drawings | Sheets  |
| and Pages Application Data Sheet  |                 |   |
| <input type="checkbox"/> Combined Declaration, Petition & Power of Attorney     |                 | pages   |
| <input type="checkbox"/> List of Inventor Names and Addresses                   |                 |   |
| <input type="checkbox"/> Utility Patent Application Transmittal                 |                 | <input type="checkbox"/> CPA                              |
| <input type="checkbox"/> Notice of Priority                                     |                 | <input type="checkbox"/> Priority Doc                     |
| <input type="checkbox"/> Check for  |                 | <input checked="" type="checkbox"/> Dep. Acct. Order Form |
| <input type="checkbox"/> Fee Transmittal Form                                   |                 |   |
| <input type="checkbox"/> Assignment/PTO 1595 pages:                             |                 |   |
| <input type="checkbox"/> Letter to Official Draftsman                           |                 |   |
| <input type="checkbox"/> Letter Requesting Approval of Drawing Changes          |                 |   |
| <input type="checkbox"/> Drawings   | sheets          | <input type="checkbox"/> Formal                           |
| <input checked="" type="checkbox"/> PTO Letter                                  |                 |   |
| <input type="checkbox"/> Amendment  |                 |   |
| <input type="checkbox"/> Information Disclosure Statement                       |                 | <input type="checkbox"/> PTO-1449                         |
| <input checked="" type="checkbox"/> Supplemental Application Data Sheet (3 pp.) |                 |   |
| <input type="checkbox"/> Search Report  |                 |   |
| <input type="checkbox"/> Statement of Relevancy                                 |                 | <input type="checkbox"/> Cited Pending Applications       |
| <input type="checkbox"/> IDS/Related/List of Related Cases                      |                 |   |
| <input type="checkbox"/> Restriction Response                                   |                 | <input type="checkbox"/> Election Response                |
| <input type="checkbox"/> Rule 132 Declaration                                   |                 |   |
| <input type="checkbox"/> Petition for Extension of Time                         |                 |   |
| <input type="checkbox"/> Notice of Appeal                                       |                 |   |
| <input type="checkbox"/> Brief  |                 |   |
| <input type="checkbox"/> Issue Fee Transmittal                                  |                 |   |
| <input type="checkbox"/> White Advance Serial Number Card                       |                 |   |
| <input type="checkbox"/> Small Entity Status is claimed                         |                 |   |
| <input type="checkbox"/>  |                 |   |
| <input type="checkbox"/>  |                 |   |



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Due Date: March 12, 2002

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Dept.: CHEMICAL

By: NFO:SUK:tdg

OSMM&N File No. 214089US0CONT

Serial No. 09/972,887

In the matter of the Application of: Yoshinao NAGASHIMA, et al.

For: AUTONOMIC NERVE REGULATING AGENT

The following has been received in the U.S. Patent Office on the date stamped hereon:

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|--|--|
| <input type="checkbox"/> pp. Specification<br>and<br><input type="checkbox"/> Combined Declaration, Petition & Power of Attorney<br><input type="checkbox"/> Notice to File Missing Parts (Return Copy)<br><input type="checkbox"/> Filing of Declaration under 37 C.F.R. 1.53 (b)<br><input type="checkbox"/> Notice of Priority<br><input type="checkbox"/> Check for<br><input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Assignment/PTO 1595 pages:<br><input type="checkbox"/> Letter to Official Draftsman<br><input type="checkbox"/> Letter Requesting Approval of Drawing Changes<br><input type="checkbox"/> Drawings sheets <input type="checkbox"/> Formal<br><input type="checkbox"/> PTO Cover Letter<br><input type="checkbox"/> Amendment<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Cited References (7)<br><input type="checkbox"/> Search Report<br><input type="checkbox"/> Statement of Relevancy<br><input type="checkbox"/> IDS/Related/List of Related Cases<br><input type="checkbox"/> Restriction Response<br><input type="checkbox"/> Rule 132 Declaration<br><input type="checkbox"/> Petition for Extension of Time<br><input type="checkbox"/> Notice of Appeal<br><input type="checkbox"/> Brief<br><input type="checkbox"/> Issue Fee Transmittal<br><input type="checkbox"/> White Advance Serial Number Card<br><input type="checkbox"/> Small Entity Status is claimed<br><input type="checkbox"/><br><input type="checkbox"/> | <input type="checkbox"/> Claims/Drawings Sheets<br><br><input type="checkbox"/> 3 Pages<br>(EXECUTED)<br><br><input type="checkbox"/> CPA<br><input type="checkbox"/> Priority Doc<br><input type="checkbox"/> Dep. Acct. Order Form<br><br><input type="checkbox"/> PTO-1449<br><br><input type="checkbox"/> Cited Pending Applications<br><br><input type="checkbox"/> Election Response |
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Due Date: January 9, 2002

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Docket No.: 214089US0CONT

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231



ATTORNEYS AT LAW

RE: Application Serial No.: 09/972,887

Applicants: Yoshinao NAGASHIMA, et al.

Filing Date: October 10, 2001

For: AUTONOMIC NERVE REGULATING AGENT

SIR:

Attached hereto for filing are the following papers:

**NOTICE TO FILE MISSING PARTS (RETURN COPY); FILING DECLARATION UNDER  
37 C.F.R. 1.53(b); DECLARATION AND POWER OF ATTORNEY; INFORMATION  
DISCLOSURE STATEMENT; FORM PTO-1449; CITED REFERENCE (7)**

Our check in the amount of \$0.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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